

# Early Diagnosis and Treatment of Coronary Heart Disease in Symptomatic Subjects With Advanced Vascular Atherosclerosis of the Carotid Artery (Type III and IV b Findings Using Ultrasound)

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## Abstract

**Background:** A study was conducted as to whether the early diagnosis of coronary heart disease (CHD) in symptomatic patients with advanced atherosclerosis of the carotid artery was more successful using ultrasound technology than exercise electrocardiography (ECG).

**Methods:** Within the scope of an occupational screening program using subjects from diverse employment sectors, people were given the opportunity to determine their risk of heart attack. During the study, the total plaque area (TPA), the maximum plaque thickness in the carotid artery and the PROCAM scores of 3,513 healthy men and 2,088 healthy women between the ages of 20 and 65 were determined. During the subsequent follow-up study, 36 subjects developed symptoms such as exertional dyspnea, atypical angina pectoris (AP) or typical AP. Four patients displayed no symptoms. The initial cardiac diagnostic testing was conducted on 31 patients using an exercise ECG, four patients were assessed using a coronary angiogram, and five further patients were assessed using a computed tomography (CT) coronary angiogram. An ultrasound examination of the carotid artery of 39 patients revealed a type IV b finding and in one patient, the examination revealed a type III finding.

**Results:** In 17 patients, the PROCAM score was < 10%, 13 patients had a score of 10-20% and 10 patients had a score of > 20%. In the final analysis, only two patients had entirely smooth coronary arteries, seven had coronary sclerosis, seven had a 30% stenosis, one had a 30-40% stenosis, one had a 40% stenosis, and 22 patients had a stenosis  $\geq$  50%, and in extreme cases, a left main coronary artery stenosis with three-vessel disease was shown. The exercise ECG only achieved a true positive result in four patients, and in 21 patients, the

result was false negative.

**Conclusions:** Symptomatic patients with advanced atherosclerosis of the carotid artery (type III and type IV b findings) had a high risk for CHD. The diagnosis of CHD is better achieved by using carotid duplex than with an exercise ECG. Early treatment of the disease improves the patient's prognosis.

**Keywords:** Total plaque area; Carotid duplex; Cardiovascular risk; Coronary heart disease; PROCAM score

## Introduction

Within the scope of an occupational screening program in the Koblenz area, people working in diverse sectors of the economy such as chemicals, glass, pharmaceuticals, administration, steel production, social services, paper production, printing, ceramics, IT, university, universities of applied science and retail were given the opportunity to determine their risk of heart attack. During the study, the total plaque area (TPA), the maximum plaque thickness in the carotid artery and the PROCAM scores of 5,601 healthy subjects (who had had no previous history of a cardiovascular event) between the ages of 20 and 65 (3,513 men and 2,088 women) were determined.

In the mean follow-up study of period of  $35.2 \pm 21$  months, seven strokes and 20 heart attacks have occurred to date. Seven bypass operations and four stent implantations have been performed, and stenoses (70% and 90%, respectively) have been revealed in two patients who underwent a coronary angiogram. Four subjects exhibited a type III finding and 26 subjects showed a type IV b finding in the ultrasound examination of the baseline study.

The testing method involving the measurement of plaque burden and maximum plaque thickness was validated by means of a blinded examination of 500 patients in hospital 1 day prior to a scheduled coronary angiogram. This allowed the classification of the ultrasound findings into four types [1]. Patients with a type III or a type IV b finding in the ultrasound examination revealed one-, two- or three-vessel disease in 83% of cases. Eighty-seven percent of the patients suffering from a stenosing coronary heart condition were accurately predicted

Manuscript accepted for publication January 09, 2017

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doi: <https://doi.org/10.14740/cr516w>

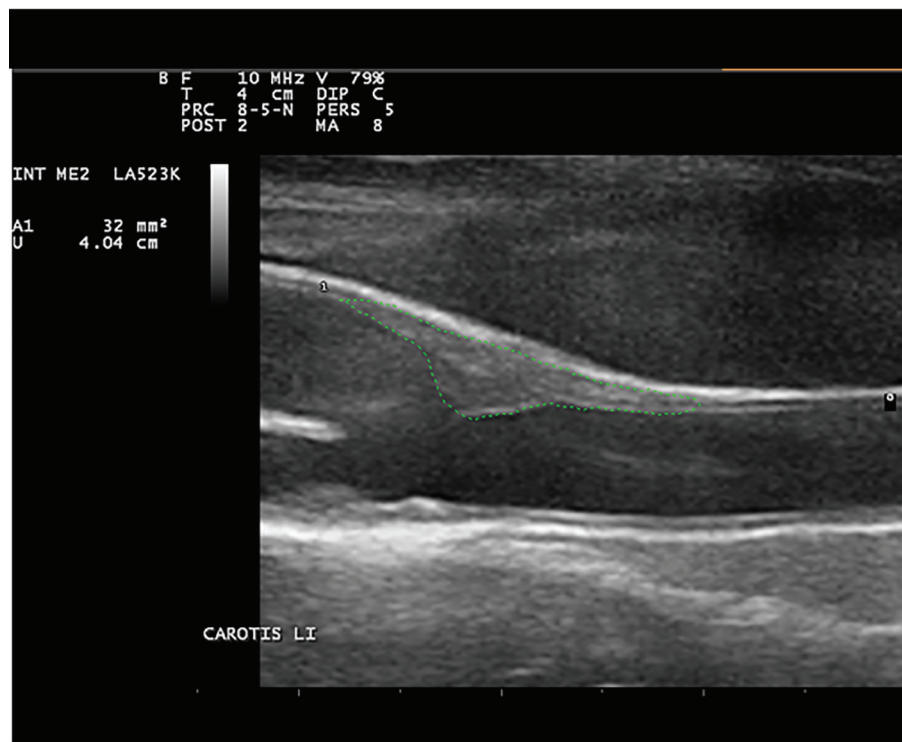


Figure 1. Type III in the carotid duplex with TPA under the age-related cut-off.

(type III or type IV b finding during the carotid duplex).

This means that subjects not yet displaying any symptoms of coronary heart disease (CHD) predominantly have advanced atherosclerosis of the carotid artery. The positive predictive value (PPV) for hospitalized patients is of course not transferable due to the much lower prevalence of atherosclerosis of the carotid artery in healthy subjects; however, the sensitivity of the carotid duplex in predicting CHD is not dependent upon its prevalence. Subsequently, 408 healthy subjects (73 of them women) with a type III or type IV b finding were contacted and specifically asked if they had experienced, or were experiencing symptoms such as exertional dyspnea or AP. Thirty-six subjects (30 men and six women aged between 43 and 61 years) complained of exertional dyspnea or of atypical AP or typical AP. Four subjects (three men and one woman) had no symptoms at all; however, these subjects elected to undergo further cardiac examinations. In all subjects, there was advanced atherosclerosis of the carotid artery (type III or type IV b finding, Figs. 1 and 2). In 36 subjects, further cardiac assessment was recommended. A comparison was drawn between the results of the ultrasound examination and the exercise electrocardiography (ECG).

## Methods

The carotid artery (common carotid artery both externally and internally using B-mode ultrasound) was examined on both sides, as far as could be made visible, for plaques on the long and short axis from the clavicle through to the tempo-

mandibular joint in a caudal to cranial direction by means of anterior, anterolateral, lateral and posterolateral insonation. A portable ultrasound device with a 10 MHz linear transducer of the type Imagic Agile and manufactured by the company Kontron Medical was used during testing. Only carotid artery wall thickness increases with an intima media thickness (IMT) > 1 mm were evaluated as plaque. Using the device's surface area measurement program, it was possible to identify all the plaques in a longitudinal section (the sum total of all plaque surface areas TPA) by tracing the perimeter of each plaque with the cursor at its maximum enlargement in the B-mode image. Furthermore, the maximum plaque thickness was measured. The findings of the ultrasound examinations were classified according to four types ranging from type I to type VI b [1]: type I: no or only minimal atherosclerosis of the carotid artery with a TPA of up to 24 mm<sup>2</sup>; type II a: only flat plaques ≤ 2 mm IMT with plaque surface area under age-related cut-off in the carotid artery; type II b: only flat plaques ≤ 2 mm IMT with a large plaque surface area above age-related cut-off in the carotid artery; type III: carotid plaques with an IMT ≥ 3.5 mm and a plaque surface area under age-related cut-off; and type IV a: mixed type (with flat carotid plaques and plaques > 2 mm IMT and a plaque surface area under age-related cut-off; type IV b with flat carotid plaques and plaques > 2 mm IMT and a large plaque surface area over age-related cut-off).

The TPA cut-off in the subjects < 40 years of age was 60 mm<sup>2</sup>, from 40 to 49 years of age, it was 80 mm<sup>2</sup>, from 50 to 59 years of age, it was 110 mm<sup>2</sup>, and from 60 to 65 years of age, it was 130 mm<sup>2</sup>. The cut-off for maximum plaque thickness was > 2 mm, or irrespective of the TPA, it was ≥ 3.5 mm.



**Figure 2.** Type IV b in the carotid duplex with TPA above the age-related cut-off.

According to this classification, it was possible to predict coronary stenosis in patients who were examined 1 day prior to their undergoing a coronary angiogram with a sensitivity level of 87% [1].

The cardiac assessment was conducted using exercise ECGs, CT coronary angiograms and coronary angiograms.

The risk of heart attack was determined using the PROCAM score ([www.assmann-stiftung.de](http://www.assmann-stiftung.de)).

## Results

The initial cardiac diagnostic testing was conducted on 31 patients using an exercise ECG, four patients were assessed using a coronary angiogram, and five further patients were assessed using a CT coronary angiogram. An ultrasound examination of the carotid artery of 39 patients revealed a type IV b finding, and in one patient, the examination revealed a type III finding. The PROCAM score of 17 patients was < 10%, 13 patients had a score between 10% and 20%, and 10 patients had a score > 20%. In the final analysis, two patients had entirely smooth coronary arteries, seven had coronary sclerosis, seven had a 30% stenosis, one patient had a 30-40% stenosis, one patient had a 40% stenosis, five patients had a 50% stenosis, two patients had a 60% stenosis, two patients had a 60-70% stenosis, two patients had a 70% stenosis (a stent was inserted into one of these patients), four patients had an 80% stenosis (a stent was inserted into two of these patients), one patient had a 90% stenosis, two patients had a 95% stenosis (stents were inserted into both these patients), two patients had a vascular

occlusion (stents were inserted into both these patients), and two patients underwent emergency bypass surgery following a coronary angiogram (both patients had main stem stenosis, three-vessel disease). The exercise ECG only achieved a true positive result in four patients, and in 21 patients, the result was false negative. In the analysis, the patients with coronary sclerosis are not classified as sick. Among the 10 patients with a high PROCAM score, four had coronary sclerosis and six had a stenosis. Table 1 gives an overview of the clinical data. A type III or type VI b finding is present in 7.28% of the 5,601 subjects who were examined.

## Discussion

Atherosclerosis is an inflammatory disease of the arteries and continues to be the most common cause of death (over 40%) in the developed industrial nations. The disease can even begin from the age of 20 and often remains undetected until it is in its later stages, resulting in clinical events such as acute coronary syndrome (ACS), stable angina, apoplexy and peripheral artery disease (PAD). Several studies demonstrate that even insignificant coronary stenoses are associated with an increased risk of heart attack [2-4]. An extensive multicenter research study (CONFIRM study) using 27,000 CT coronary angiograms revealed that a statin therapy contributes towards an improvement in survival chances even in the case of stenoses < 50% [5]. The improvement in survival chances achieved by using statin therapy in the group of subjects with non-obstructive coronary heart disease was at a hazard ratio of 0.39, 95% confidence

**Table 1.** Test Results of the 40 Patients With a Type III or IV b Finding in the Ultrasound Examination

PROCAM	TPA	Plaque thickness	Type ultrasound	Symptoms	RF	PTP	Diagnostics	Coronary result	Therapy	Exercise ECG
2.23%	192	4.6	IV b	Dyspnea	LDL		Exercise ECG, coro	Smooth vessels	None	Negative
4.60%	130	2.4	IV b	Atypical AP	Nik, FA	14%	Exercise ECG, coro	Smooth vessels	None	Negative
35.32%	161	2.4	IV b	Atypical AP	DM, HDL	49%	Exercise ECG, CT	Sclerosis	Conservative	Negative
35.97%	186	3.2	IV b	Typical AP	Nik, LDL	84%	Exercise ECG, CT	Sclerosis	Conservative	Negative
39.91%	136	2.1	IV b	Atypical AP	Nik, HDL, LDL, FA	38%	CT, coro	Sclerosis	Conservative	Negative
11.10%	283	5.2	IV b	Dyspnea	Nik		Exercise ECG, coro	Sclerosis	Conservative	Negative
2.73%	179	4.1	IV b	Typical AP	Keine	84%	Coro	Sclerosis	Conservative	Negative
27.31%	166	4.7	IV b	Dyspnea	Nik, LD, HDL, FA		CT	Sclerosis	Conservative	Negative
8.92%	134	3.1	IV b	Typical AP	Keine	84%	Exercise ECG, CT	Sclerosis	Conservative	Negative
11.06%	131	3.4	IV b	Atypical AP	LDL, FA		Exercise ECG, CT	30% stenosis	Conservative	Negative
32.82%	192	3.1	IV b	Atypical AP	Nik, FA	49%	CT	30% stenosis	Conservative	Negative
9.32%	131	2.2	IV b	Dyspnea	Nik		CT	30% stenosis	Conservative	Negative
16.26%	133	3.3	IV b	Dyspnea	Nik, HDL, FA		Exercise ECG, CT, coro	30% stenosis	Conservative	Negative
4.00%	128	3.5	IV b	None	FA		Exercise ECG, CT	30% stenosis	Conservative	Negative
44.18%	120	2.3	IV b	Typical AP	Nik, HDL, DM	77%	Coro	30% stenosis	Conservative	Negative
3.65%	116	3.2	IV b	Dyspnea	Nik, FA		CT	30% stenosis	Conservative	Negative
8.17%	260	4.3	IV b	Typical AP	HDL, FA	77%	Exercise ECG, coro	30-40% stenosis	Conservative	Negative
31.00%	119	3.7	IV b	Dyspnea	LDL, FA		Exercise ECG, CT, coro	40% stenosis	Conservative	Negative
4.22%	196	3.6	IV b	Dyspnea	Keine		Exercise ECG, CT	50% stenosis	Conservative	Negative
36.90%	185	2.4	IV b	None	LDL, DM, FA		Exercise ECG, CT	50% stenosis	Conservative	Negative
21.38%	180	3.0	IV b	Dyspnea	LDL, HDL		Exercise ECG, CT, coro	50% stenosis	Conservative	Negative
8.32%	127	4.2	IV b	Dyspnea	LDL		Exercise ECG, coro	50% stenosis	Conservative	Negative
9.38%	130	2.3	IV b	Typical AP	Nik, HDL, FA	69%	Exercise ECG, coro	50% stenosis	Conservative	Negative
5.35%	192	3.5	IV b	Typical AP	Keine	84%	Coro	60% stenosis	Conservative	Negative
13.80%	230	3.6	IV b	Dyspnea	Nik, LDL, FA		Exercise ECG, coro	60% stenosis	Conservative	Negative
6.40%	238	4.4	IV b	Typical AP	Nik, DM	58%	Exercise ECG, coro	60-70% stenosis	Conservative	Negative
15.82%	122	2.3	IV b	Synkopen	LDL, HDL, Nik		Exercise ECG, CT, coro	60-70% stenosis	Conservative	Negative
5.88%	91	3.8	III	None	FA		Exercise ECG, CT, coro	70% stenosis	Conservative	Negative
3.95%	131	2.2	IV b	None	Keine		Exercise ECG, CT, MRT, coro	70% stenosis	Stenting	Pathological
21.56%	211	2.5	IV b	Atypical AP	HDL, DM	49%	Exercise ECG, CT, coro	80% stenosis	Stenting	Negative
18.93%	130	2.3	IV b	Atypical AP	LDL, FA	49%	Exercise ECG, CT, coro	80% stenosis	Conservative	Negative
6.28%	136	2.5	IV b	Typical AP	Nik, LDL	47%	Exercise ECG, coro	80% stenosis	Stenting	Negative
12.05%	244	3.5	IV b	Atypical AP	DM, FA	28%	Exercise ECG, coro	80% stenosis	conservative	Pathological
18.07%	184	3.2	IV b	Typical AP	Nik, FA	77%	Exercise ECG, coro	90% stenosis	Conservative	Negative
10.60%	104	2.3	IV b	Typical AP	FA, Nik	69%	Exercise ECG, coro	95% stenosis	Stenting	Negative
12.13%	166	2.8	IV b	Typical AP	LDL, FA	77%	Exercise ECG, coro	98% stenosis	Stenting	Negative
11.62%	114	2.3	IV b	Typical AP	LDL	77%	Exercise ECG, coro	100% stenosis	Stenting	Pathological
11.29%	320	4.3	IV b	Dyspnea	HDL		Exercise ECG, coro	100% stenosis	Stenting	Negative
5.76%	282	3.4	IV b	Typical AP	Keine	84%	Coro	HS-stenosis	Bypass	Negative
10.14%	145	2.8	IV b	Typical AP	LDL, HDL	77%	Exercise ECG, coro	HS-stenosis	Bypass	Pathological

TPA: total plaque area; FA: family history positive; DM: diabetes mellitus; HDL: HDL-cholesterol  $\leq$  40 mg/dL; LDL: LDL-cholesterol  $\geq$  180 mg/dL; AP: angina pectoris; coro: coronary angiogram; CT: computed tomography; HS: main stem; RF: risk factor; Nik: nicotine use; KHK: coronary heart disease; PTP: pretest probability; GE: vascular disease; ACS: acute coronary syndrome.

interval of 0.23 - 0.65 and a P value of  $< 0.001$ . This effect was not verifiable in the group with normal coronary arteries. It therefore appears important that atherosclerosis is both diagnosed and treated in its early stages in order to delay the final outcome of the disease as long into the future as is possible. In the case of out-patient diagnostics, the exercise ECG still plays an important role in the diagnosis of suspected CHD. The sensitivity of the exercise ECG in diagnosing coronary stenosis is only 45-50%. The importance of the exercise ECG in diagnosing coronary stenosis was therefore downgraded in the most recent guidelines [6]. In the case of a pretest probability (PTP) of between 15% and 65%, an exercise ECG is initially recommended. The exercise ECG only achieved a true positive result in four patients, and in 21 patients, the result was false negative; therefore no treatment was initiated with these patients. In view of the low number of subjects, it is not possible to evaluate these statistics using the PPV; however, the results indicate that the ultrasound examination is significantly more effective in the diagnosis of suspected CHD. It would be therefore desirable if a widely available, inexpensive, non-invasive diagnostic tool with a high level of accuracy and free from side effects was developed. The results of the study indicate that this could be achieved with the combination of TPA measurement and determining the maximum plaque thickness of the carotid artery. An evaluation of 500 ultrasound examinations carried out on patients 1 day prior to their undergoing a coronary angiogram revealed an 87% level of sensitivity in predicting a coronary stenosis of at least 50% following a type III or IV b ultrasound finding [1]. A further study which was conducted revealed similar results [7]. The follow-up study to date has demonstrated that subjects with advanced atherosclerosis (type III or type IV b findings) are at significantly increased risk of having cardiovascular disease. In the case of 40 patients (heart attack, stroke, bypass surgery, stent implantation, and existing stenosing coronary heart condition), 30 had a type III or a type IV b finding during the carotid duplex in the baseline study. From a further 40 subjects (36 with symptoms and four asymptomatic) with advanced atherosclerosis of the carotid artery who underwent cardiac diagnostic testing, 22 were found to have a stenosing coronary heart condition, nine had a stenosis of up to 40%, and seven had coronary sclerosis. Only two patients had entirely smooth coronary arteries. Cardiac assessment using an exercise ECG is insufficient. In order to confidently rule out CHD, it is necessary to conduct a coronary CT even if tests for ischemia are negative. Determining the PROCAM score is meaningful since the notorious risk factors (RFs) are taken into consideration; however, the PROCAM score does not accurately display the risks associated with atherosclerosis of the carotid artery. Out of a total of 5,601 examined subjects, 7.28% had a type III or type IV b finding in the ultrasound examination. Forty-seven percent of the men examined with a type III or type IV b finding had a low PROCAM score, and in the cases of women, even 85% with a type III or type IV b finding had a low PROCAM score. From the 71 patients in total, 38.1% had a low PROCAM score and 36.6% had a score indicating intermediate risk. This demonstrates that the PROCAM score is of little preventative value in individual cases. Using ultrasound screening of the carotid artery on subjects with a high level of cardiovascular

risk means that they can be both easily and inexpensively diagnosed and thus treated early. It is very probable that a number of asymptomatic subjects with advanced atherosclerosis (particularly those subjects who also have several other RFs for cardiovascular disease) already have an existing asymptomatic coronary heart condition which would respond favorably to treatment with statins. Further research is therefore required in this area in order to promote better preventative medicine.

## Conclusions

Subjects with symptoms such as exertional dyspnea, atypical or typical AP, and advanced atherosclerosis of the carotid artery (type III or type IV b finding) have a high risk of CHD. Cardiac assessment using an exercise ECG is insufficient since the sensitivity level of this test is too low. An early diagnosis of CHD using ischemia imaging proves unsuccessful since no ischemia is present. The PROCAM score also does not close this diagnostic gap since it does not accurately display the risks associated with atherosclerosis. Further clarification is required as to whether (also in the case of asymptomatic subjects with advanced atherosclerosis and several other RFs) a coronary heart condition is already present that would respond favorably to treatment with statins.

## Limitations

Only a small number of patients were examined.

## Conflicts of Interest

The authors confirm that they have no conflicts of interest.

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